

Receipt date: 11/24/2006

Neifeld Ref: PIP155CARR-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Carr

INT APP. NO: 10/559,937

FILED: 12/8/2005

FOR: Assumed Demographics, Predicted Behavior, and Targeted  
Incentives

GAU:3622

EXAMINER: Unknown

PTO CONFIRMATION NO. 1038

**37 CFR 1.97 INFORMATION DISCLOSURE STATEMENT**

ASSISTANT COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached PTO-1449. Copies of the listed references are being submitted herewith or, were submitted in the parent or grandparent application, where required, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references, or cited by the examiner.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**PETITION**

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(p).

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 50-2106.

**31518**

PATENT TRADEMARK OFFICE

Respectfully submitted,

11/22/2006

/RichardNeifeld#35,299/

Date

Richard A. Neifeld  
Registration No. 35,299

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.L./

Receipt date: 11/24/2006

## 37 CFR 1.98(A)(1) Reference Citation

**Printed: May 14, 2003 (3:24pm)**

Y:\Info\FirmForms\USProsecutionForms\IDS\_ReferenceCitations\_040401.wpd

<b>NEIFELD IP LAW REFERENCE FORM</b> <b>LIST OF REFERENCES CITED BY THE</b> <b>APPLICANT</b> (Rev: 5/14/2003)	<b>NEIFELD REF:</b> PIP155CARR-US	<b>APPLICATION NO:</b> 10/559,937
	<b>FIRST NAMED INVENTOR:</b> Carr	<b>GROUP ART UNIT:</b> 3622

## LISTING OF UNITED STATES PATENTS - U series

[illegible]

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.L./

### LISTING OF NON PATENT LITERATURE - O Series

[illegible]